## COUNTING PRIMES WITH A GIVEN PRIMITIVE ROOT, UNIFORMLY

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For Greg Martin on his retirement.

ABSTRACT. The celebrated Artin conjecture on primitive roots asserts that given any integer g which is neither -1 nor a perfect square, there is an explicit constant A(g) > 0 such that the number  $\Pi(x;g)$  of primes  $p \leq x$  for which g is a primitive root is asymptotically  $A(g)\pi(x)$  as  $x \to \infty$ , where  $\pi(x)$  counts the number of primes not exceeding x. Artin's conjecture has remained unsolved since its formulation 98 years ago. Nevertheless, Hooley demonstrated in 1967 that Artin's conjecture is a consequence of the Generalized Riemann Hypothesis (GRH) for Dedekind zeta functions of certain Kummer extensions over  $\mathbb{Q}$ . In this paper, we establish the Artin–Hooley asymptotic formula, under GRH, whenever  $\log x/\log \log 2|g| \to \infty$ . Under GRH, we also show that the least prime  $p_g$  possessing g as a primitive root satisfies the upper bound  $p_g = O(\log^{19}(2|g|))$  uniformly for all non-square  $g \neq -1$ . We conclude with an application to the average value of  $p_g$  as well as discussion of an analogue concerning the least "almost-primitive" root  $p_g^*$ .

## 1. INTRODUCTION

It is a classical result, due to Gauss, that the multiplicative group modulo a prime p is always cyclic. That is, given any prime number p, there is an integer g whose reduction mod p generates the group  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ; following tradition, we call such an integer g a primitive root modulo p. On the other hand, if we start with a given  $g \in \mathbb{Z}$ , there need not be any prime p with g a primitive root mod p. For instance, g = 4 is not a primitive root modulo any prime, and the same holds for all even square values of g.

The distribution of primes p possessing a prescribed integer g as a primitive root is the subject of a celebrated 1927 conjecture of Emil Artin, formulated during a visit of Artin to Hasse (consult [1, §17.2] for the history, and see [12] for a comprehensive survey of related developments). For real x > 0 and integers g, let

 $\Pi(x;g) = \#\{\text{primes } p \le x : g \text{ is a primitive root mod } p\}.$ 

Let

 $\mathcal{G} = \{g \in \mathbb{Z} : |g| > 1, g \text{ not a square}\}.$ 

Artin's primitive root conjecture predicts that for each  $g \in \mathcal{G}$ ,

$$\Pi(x;g) \sim A(g)\pi(x), \quad \text{as} \quad x \to \infty, \tag{1}$$

for an explicitly given A(g) > 0.

The conjectured form of A(g) depends on the arithmetic nature of g. For each  $g \in \mathcal{G}$ , let  $g_1$  denote the unique squarefree integer with  $g \in g_1(\mathbb{Q}^{\times})^2$ , and let h be the largest positive integer for which

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 $g \in (\mathbb{Q}^{\times})^h$ . Since g is not a square, h is odd. Put

$$A_0(g) = \prod_{q|h} \left( 1 - \frac{1}{q-1} \right) \prod_{q \nmid h} \left( 1 - \frac{1}{q(q-1)} \right).$$
(2)

If  $g_1 \equiv 1 \pmod{4}$ , put

$$A_1(g) = 1 - \mu(|g_1|) \prod_{\substack{q|h\\q|g_1}} \frac{1}{q-2} \prod_{\substack{q\nmid h\\q|g_1}} \frac{1}{q^2 - q - 1};$$
(3)

otherwise, set  $A_1(g) = 1$ . Finally, put

$$A(g) = A_0(g)A_1(g).$$

It is this value of A(g) for which Artin predicts (1).<sup>1</sup>

Artin's conjecture remains unresolved. In fact, to this day there is not a single value of g for which we can show even the weaker assertion that  $\Pi(x; g) \to \infty$ . (However, work of Heath-Brown [7] implies this holds for at least one of g = 2, 3, or 5.) The most important progress in this direction is a 1967 theorem of Hooley [8], asserting that the full asymptotic relation (1) follows from the Generalized Riemann Hypothesis (GRH).<sup>2</sup>

Hooley states and proves his asymptotic formula for fixed  $g \in \mathcal{G}$ . Our main result makes the dependence on g explicit.

**Theorem 1.1** (assuming GRH). The asymptotic formula  $\pi(x;g) \sim A(g)\Pi(x;g)$  holds whenever  $\log x / \log \log 2|g| \to \infty$ . More precisely, there is an absolute constant  $x_0 > 0$  for which the following holds: If  $g \in \mathcal{G}$  and  $x \ge \max\{x_0, \log^3(2|g|)\}$ , then

$$\Pi(x;g) = A(g)\pi(x)\left(1 + O\left(\frac{\log\log x}{\log x} + \frac{\log\log 2|g|}{\log x}\right)\right).$$
(4)

The proof of Theorem 1.1, presented in §2, broadly proceeds along the same course as Hooley's, but care and caution are required to ensure the final estimate is nontrivial in a wide range of x and g. In particular, the fact that the positive constant A(g) can be arbitrarily small causes substantial complications.

Let  $p_g$  denote the least prime p possessing g as a primitive root, where we set  $p_g = \infty$  when no such p exists. Theorem 1.1 implies immediately that for all  $g \in \mathcal{G}$ ,

$$p_g \ll \log^B(2|g|),\tag{5}$$

for a certain absolute constant B. Indeed, if K is an admissible value of the implied constant in (4), then (5) holds for any B > K. In our next theorem, we pinpoint a numerically explicit value of B.

**Theorem 1.2** (assuming GRH). The upper bound (5) holds with B = 19.

<sup>&</sup>lt;sup>1</sup>Artin's original 1927 formulation was missing the factor of  $A_1(g)$ . Artin realized the need for  $A_1(g)$  after learning of computations carried out by the Lehmers. See Stevenhagen's discussion in [16].

<sup>&</sup>lt;sup>2</sup>Here and below, GRH means the Riemann Hypothesis for all Dedekind zeta functions.

Usually  $p_g$  is quite small. For instance,  $p_g = 2$  whenever g is odd, while for even g, one has  $p_g = 3$  one-third of the time (whenever  $3 \mid g+1$ ). Proceeding more generally, there are  $\varphi(p-1)$  primitive roots modulo the prime p. So by the Chinese remainder theorem, for each fixed p a random g satisfies  $p_g > p$  with probability  $\prod_{r \le p} (1 - \frac{\varphi(r-1)}{r})$ . To make the term "probability" here rigorous, we can interpret it as limiting frequency, with g sampled from integers satisfying  $|g| \le x$ , where  $x \to \infty$ .

This probabilistic viewpoint can be used to formulate a conjecture on the upper order of  $p_g$ . While  $\varphi(r-1)/r$  fluctuates as the prime r varies, for the sake of estimating the above product on r, we can treat the terms  $1 - \frac{\varphi(r-1)}{r}$  as constant. More precisely, there is a certain real number  $\varrho > 1$  such that  $\prod_{r \leq r_k} (1 - \frac{\varphi(r-1)}{r}) = \varrho^{-(1+o(1))k}$  as  $k \to \infty$ , where  $r_k$  denotes the kth prime in the usual order. (We do not prove this here, but a related result appears as Lemma 4.1 below.) Since  $2x\varrho^{-k} < 1$  once  $k > k_0(x) := \frac{\log 2x}{\log \varrho}$ , it is tempting to conjecture that  $\max_{|g| \leq x} p_g$  is never more than about  $p_{k_0(x)}$ . (This requires "pretending" that our probabilities, which were given rigorous meaning only when fixing k and sending x to infinity, can be interpreted uniformly in k and x.) This cannot be quite right, as  $p_g = \infty$  for even square values of g! Nevertheless, it seems sensible to guess that  $p_g \ll (\log 2|g|)(\log \log 2|g|)$  for all  $g \notin \mathcal{G}$ . If correct, this is sharp: In [13], Pomerance and Shparlinski report a a construction of Soundararajan yielding an infinite sequence of positive integers g that (a) are all products of two distinct primes and (b) are squares modulo every odd prime  $p \leq 0.7(\log g)(\log \log g)$ .<sup>3</sup> These g satisfy  $p_{4g} \gg \log (4g) \log \log (4g)$ .

This same perspective suggests that the "probability"  $p_g > p$  is given by

$$\delta_p := \frac{\varphi(p-1)}{p} \prod_{r < p} \left( 1 - \frac{\varphi(r-1)}{r} \right). \tag{6}$$

Taking this for granted and proceeding formally,  $\mathbb{E}[p_g] = \sum_p p \delta_p$ . Using Theorem 1.2, we give a GRH-conditional proof that this sum represents the honest average of  $p_g$ .

**Corollary 1.3.** We have that  $\sum_{p} p\delta_p < \infty$ . Furthermore, assuming GRH,

$$\lim_{x \to \infty} \frac{1}{2x} \sum_{g \in \mathcal{G}, |g| \le x} 1 = \sum_{p} p \delta_{p}.$$
(7)

Here  $\delta_p$  is as defined in (6).

(We divide by 2x, as there are  $2x + O(x^{1/2})$  integers  $g \in \mathcal{G}$  with  $|g| \leq x$ .) There seems no hope at present of proving Corollary 1.3 unconditionally. If  $p_g = \infty$  for even a single value of g, then the average becomes meaningless, and we know of no way to rule this out. Infinite values of  $p_g$  are not the only enemy: Having  $p_g > x \log x$  for some g,  $|g| \leq x$  (along a sequence of x tending to infinity) is enough to doom (7).

In an attempt to salvage the situation, one might tamp down the large values of  $p_g$  by averaging  $\min\{p_g, \psi(x)\}$  for a threshold function  $\psi$ . In our final theorem, established in §5, we show that this strategy succeeds for  $\psi(x) = x^{\eta}$ , for any positive  $\eta < \frac{1}{2}$ .

<sup>&</sup>lt;sup>3</sup>Here 0.7 can be replaced with any constant smaller than  $1/\log 4$ .

**Theorem 1.4.** Fix a positive real number  $\eta < \frac{1}{2}$ . Then

$$\lim_{x \to \infty} \frac{1}{2x} \sum_{g \in \mathcal{G}, |g| \le x} \min\{p_g, x^\eta\} = \sum_p p\delta_p.$$

It would be interesting to prove Theorem 1.4 with a less stringent condition on  $\eta$ , such as  $\eta < 1$ . But a substantial new idea would seem required to take  $\eta$  past 1/2. As we explain in §6, the problem becomes easier if we look instead at **almost-primitive roots**, meaning numbers g which generate a subgroup of index at most two inside  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ .

The problems we have taken up about  $p_g$  are dual to those classically considered for  $g_p$ , the least primitive root modulo the prime p. Burgess [2] and Wang [18] have shown unconditionally that  $g_p \ll p^{\frac{1}{4}+\varepsilon}$  for all primes p, while Shoup [15] (sharpening an earlier, qualitatively similar result of Wang, op. cit.) has proved under GRH that  $g_p \ll r^4(1+\log r)^4 \log^2 p$ , where  $r = \omega(p-1)$ . Shoup's upper bound is of size  $\log^{2+o(1)} p$  for most primes p and is always  $O(\log^6 p)$ . These pointwise results are stronger than those known for  $p_g$ , but the story for average values is different. While  $g_p$  is conjectured to have a finite, limiting mean value, this has not been established even assuming GRH (that is, the analogue of Corollary 1.3 remains open). In fact, GRH has not yielded a stronger upper bound for  $\pi(x)^{-1} \sum_{p \leq x} g_p$  than  $(\log x)(\log \log x)^{1+o(1)}$  (as  $x \to \infty$ ); this last estimate is due to Elliott and Murata [3].

## 2. A UNIFORM VARIANT OF HOOLEY'S FORMULA: PROOF OF THEOREM 1.1

The following lemma encodes the input of GRH to the proof. It will be of vital importance both in this section and the next.

**Lemma 2.1** (assuming GRH). Let g be a nonzero integer. For each real number  $x \ge 2$  and each  $d \in \mathbb{N}$ , the count of primes  $p \le x$  for which

$$p \equiv 1 \pmod{d}$$
 and  $g^{(p-1)/d} \equiv 1 \pmod{p}$  (8)

is

$$\frac{\pi(x)}{\left[\mathbb{Q}(\zeta_d, \sqrt[d]{g}) : \mathbb{Q}\right]} + O(x^{1/2}\log(|g|dx)).$$

Here the implied constant is absolute.

*Proof.* Apart from making explicit the dependence on g, this result is well-known and present already in [8]. Since dependence on g is crucial for our purposes, we sketch a proof. We first throw out primes dividing d|g|; there are only  $O(\log(|g|d))$  of these, a quantity subsumed by our error term. For the remaining primes p,

(8) holds 
$$\iff x^d - g$$
 has  $d$  distinct roots over  $\mathbb{F}_p$   
 $\iff x^d - g$  factors over  $\mathbb{F}_p$  into  $d$  distinct monic linear polynomials  
 $\iff p$  splits completely in  $\mathbb{Q}(\zeta_d, \sqrt[d]{g})$ .

To count primes up to x satisfying this last condition, we apply the GRH-conditional Chebotarev density theorem in the form  $(20_R)$  of [14] (in the notation of [14], take  $K = \mathbb{Q}$ ,  $E = \mathbb{Q}(\zeta_d, \sqrt[d]{g})$ ,  $C = \{id\}$ , and keep in mind that all primes ramifying in E divide gd).

We now turn to the proof proper. We follow Hooley's strategy, but keep a more watchful eye on g-dependence in the error terms.

Let p be a prime not dividing g. For each prime number  $\ell$ , we say that p fails the  $\ell$ -test if

$$p \equiv 1 \pmod{\ell}$$
 and  $g^{(p-1)/\ell} \equiv 1 \pmod{p}$ 

otherwise, we say p passes the  $\ell$ -test. Then g is a primitive root modulo p precisely when p passes the  $\ell$ -test for all primes p. In particular, if we define

$$\Pi_0(x;g) = \#\{p \le x : p \nmid g, p \text{ passes all } \ell \text{-tests for } \ell \le \log x\},\$$

then

$$\Pi(x;g) \le \Pi_0(x;g).$$

For each squarefree  $d \in \mathbb{N}$ , let  $N_d$  denote the count of primes  $p \leq x$  which fail the  $\ell$ -test for each prime  $\ell \mid d$ . These are precisely the primes  $p \leq x$  for which (8) holds, so that by Lemma 2.1 and inclusion-exclusion,

$$\Pi_{0}(x;g) = \sum_{d: P^{+}(d) \le \log x} \mu(d) N_{d}$$
  
=  $\pi(x) \sum_{d: P^{+}(d) \le \log x} \frac{\mu(d)}{\left[\mathbb{Q}(\zeta_{d}, \sqrt[d]{g})\right]} + O\left(x^{1/2} \sum_{d: P^{+}(d) \le \log x} \log(|g|dx)\right).$  (9)

(Throughout, we use  $P^+(\cdot)$  for the largest prime factor, with the convention that  $P^+(1) = 1$ .) The error term is readily handled: Each squarefree d with  $P^+(d) \leq \log x$  satisfies  $d \leq \prod_{r \leq \log x} r \leq x^2$ , and there are  $2^{\pi(x)} = \exp(O(\log x/\log \log x))$  such values of d. Hence,

$$x^{1/2} \sum_{d: P^+(d) \le \log x} \log(|g|dx) \ll x^{1/2} \log(|g|x) \cdot \exp(O(\log x/\log\log x)) \ll x^{3/5} \log|g|.$$
(10)

Turning to the main term, we extract from [8, pp. 213–214] that for each squarefree  $d \in \mathbb{N}$ ,

$$\left[\mathbb{Q}(\zeta_d, \sqrt[d]{g}) : \mathbb{Q}\right] = \frac{d\varphi(d)}{\varepsilon(d) \operatorname{gcd}(d, h)}, \quad \text{where} \quad \varepsilon(d) = \begin{cases} 2 & \text{if } 2g_1 \mid d \text{ and } g_1 \equiv 1 \pmod{4}, \\ 1 & \text{otherwise.} \end{cases}$$

(Actually, what Hooley computes in [8] is the degree of  $\mathbb{Q}(\zeta_d, \sqrt[d_1]{g})$ , where  $d_1 := d/\gcd(d, h)$ . But this is the same field as  $\mathbb{Q}(\zeta_d, \sqrt[d_2]{g})$ , by Kummer theory, since g and  $g^{\gcd(d,h)}$  generate the same subgroup of  $\mathbb{Q}(\zeta_d)^{\times}/(\mathbb{Q}(\zeta_d)^{\times})^d$ .) From this, Hooley deduces in [8] that

$$\sum_{d} \frac{\mu(d)}{\left[\mathbb{Q}(\zeta_d) : \mathbb{Q}\right]} = A(g),$$

where the sum is over all  $d \in \mathbb{N}$ . We would like to plug this result into (9), but the corresponding sum in (9) is restricted to  $(\log x)$ -smooth values of d.

Let us examine the error incurred by replacing the sum over all d by the sum over  $(\log x)$ -smooth d. If  $g_1 \not\equiv 1 \pmod{4}$ , then

$$\begin{split} \sum_{d: P^+(d) > \log x} \frac{\mu(d)}{[\mathbb{Q}(\zeta_d) : \mathbb{Q}]} &= \sum_{d: P^+(d) > \log x} \mu(d) \frac{(d, h)}{d\varphi(d)} = -\sum_{\ell > \log x} \frac{(\ell, h)}{\ell\varphi(\ell)} \sum_{d: P^+(d) < \ell} \mu(d) \frac{(d, h)}{d\varphi(d)} \\ &= -\sum_{\ell > \log x} \frac{(\ell, h)}{\ell(\ell - 1)} \prod_{\substack{r < \ell \\ r \nmid h}} \left(1 - \frac{1}{r(r - 1)}\right) \prod_{\substack{r < \ell \\ r \mid h}} \left(1 - \frac{1}{r - 1}\right) \\ &\ll \sum_{\ell > \log x} \frac{(\ell, h)}{\ell(\ell - 1)} \frac{\varphi(h)}{h} \prod_{\substack{r \mid h \\ r \ge \ell}} \left(1 + \frac{1}{r}\right). \end{split}$$

Each r appearing in this last expression has  $r > \log x$ . Furthermore,

$$\prod_{\substack{r|h\\r>\log x}} \left(1+\frac{1}{r}\right) \le \exp\left(\sum_{\substack{r|h\\r>\log x}} \frac{1}{r}\right) \le \exp\left(\frac{1}{\log x} \sum_{\substack{r|h\\r>\log x}} 1\right) \le \exp\left(\frac{\log h}{\log x \cdot \log \log x}\right) \ll 1, \quad (11)$$

noting that

$$h \le \frac{\log|g|}{\log 2} < \log^3(2|g|) \le x$$

in the last step. Hence,  $\prod_{r\mid h,\ r\geq \ell}(1+1/r)\ll 1,$  and

$$\sum_{d: P^+(d) > \log x} \frac{\mu(d)}{[\mathbb{Q}(\zeta_d) : \mathbb{Q}]} \ll \frac{\varphi(h)}{h} \left( \sum_{\substack{\ell > \log x \\ \ell \mid h}} \frac{1}{\ell} + \sum_{\substack{\ell > \log x \\ \ell \nmid h}} \frac{1}{\ell^2} \right)$$
$$\ll \frac{\varphi(h)}{h} \left( \frac{1}{\log x} \frac{\log h}{\log \log x} + \frac{1}{\log x} \right)$$
$$\ll \frac{\varphi(h)}{h} \cdot \frac{\log \log 2|g|}{\log x},$$

where we take from the last display that  $\log h \ll \log \log 2|g|$ .

When  $g_1 \equiv 1 \pmod{4}$ , the argument is similar, but the details are slightly more involved. In this case,

$$\sum_{d: P^+(d) > \log x} \frac{\mu(d)}{\left[\mathbb{Q}(\zeta_d) : \mathbb{Q}\right]} = \sum_{d: P^+(d) > \log x} \mu(d) \frac{(d,h)}{d\varphi(d)} + \sum_{d: P^+(d) > \log x} \mu(d) \frac{(d,h)}{d\varphi(d)}.$$

The first-right hand sum has already been shown to be  $O(\frac{\varphi(h)}{h} \frac{\log \log 2|g|}{\log x})$ . The second obeys the same bound: In this case,

$$\sum_{\substack{d: P^+(d) > \log x \\ 2g_1 \mid d}} \mu(d) \frac{(d,h)}{d\varphi(d)} = -\sum_{\ell > \log x} \frac{(\ell,h)}{\ell\varphi(\ell)} \sum_{\substack{d: P^+(d) < \ell \\ 2g_1 \mid \ell d}} \mu(d) \frac{(d,h)}{d\varphi(d)}.$$
(12)

The right-hand sum on d is empty if  $2g_1/(2g_1, \ell)$  has a prime factor at least  $\ell$ . In all other cases,

$$\sum_{\substack{d: P^+(d) < \ell \\ 2g_1 \mid \ell d}} \mu(d) \frac{(d,h)}{d\varphi(d)} = \prod_{\substack{r \mid \frac{2g_1}{(2g_1,\ell)}}} -\frac{(r,h)}{r(r-1)} \prod_{\substack{r < \ell \\ r \nmid \frac{2g_1}{(2g_1,\ell)}}} \left(1 - \frac{(r,h)}{r(r-1)}\right)$$

Keeping in mind that h is odd, we observe that  $\frac{(r,h)}{r(r-1)} \leq \frac{1}{2}$  for each prime r, so that  $\frac{(r,h)}{r(r-1)} \leq 1 - \frac{(r,h)}{r(r-1)}$ . Therefore,

$$\sum_{\substack{k:P^+(d)<\ell\\2g_1\mid\ell d}} \mu(d) \frac{(d,h)}{d\varphi(d)} \le \prod_{r<\ell} \left(1 - \frac{(r,h)}{r(r-1)}\right) \le \prod_{\substack{r<\ell\\r\mid h}} \left(1 - \frac{1}{r-1}\right) \ll \frac{\varphi(h)}{h} \prod_{\substack{r\mid h\\r\geq\ell}} \left(1 + \frac{1}{r}\right)$$

and referring back to (12),

$$\sum_{\substack{d: P^+(d) > \log x \\ 2g_1 \mid d}} \mu(d) \frac{(d,h)}{d\varphi(d)} \ll \sum_{\ell > \log x} \frac{(\ell,h)}{\ell(\ell-1)} \frac{\varphi(h)}{h} \prod_{\substack{r \mid h \\ r \ge \ell}} \left(1 + \frac{1}{r}\right).$$

But the right-hand side was estimated above as  $O(\frac{\varphi(h)}{h} \frac{\log \log 2|g|}{\log x})$ .

We conclude that in every case,

$$\sum_{d: P^+(d) > \log x} \frac{\mu(d)}{\left[\mathbb{Q}(\zeta_d) : \mathbb{Q}\right]} \ll \frac{\varphi(h)}{h} \frac{\log \log 2|g|}{\log x}.$$
(13)

Combining (9), (10), and (13), we arrive at the estimate

$$\Pi_0(x;g) = A(g)\pi(x) + O\left(\frac{\varphi(h)}{h} \frac{\log \log 2|g|}{\log x} \pi(x) + x^{3/5} \log |g|\right).$$

We will need the error term in "multiplicative form". Notice that  $A_0(g)$ , as defined in (2), satisfies  $A_0(g) \simeq \varphi(h)/h$ . Recalling the definition (3) of  $A_1(g)$  in the case when  $g_1 \equiv 1 \pmod{4}$ , we see that the subtracted term in (3) always has absolute value at most 1. In fact, that absolute value is at most 1/3 unless  $g_1 = -3$ , in which case  $\mu(|g_1|) = -1$ . Hence,  $\frac{2}{3} \leq A_1(g) \leq 2$ , and

$$A(g) = A_0(g)A_1(g) \asymp \frac{\varphi(h)}{h}$$

Therefore,

$$\begin{aligned} \frac{\varphi(h)}{h}\pi(x) \cdot \frac{\log\log 2|g|}{\log x} + x^{3/5}\log|g| \ll A(g)\pi(x) \left(\frac{\log\log 2|g|}{\log x} + \frac{(h/\varphi(h))\log|g|}{x^{3/8}}\right) \\ \ll A(g)\pi(x) \left(\frac{\log\log 2|g|}{\log x} + \frac{\log\log x}{x^{1/24}}\right) \\ \ll A(g)\pi(x) \frac{\log\log 2|g|}{\log x}. \end{aligned}$$

Here in going from the first line to the second, we use that  $h/\varphi(h) \ll \log \log 3h \ll \log \log x$  and that  $\log |g| \ll x^{1/3} = x^{3/8}/x^{1/24}$ . We conclude that

$$\Pi_0(x;g) = A(g)\pi(x) \left( 1 + O\left(\frac{\log\log 2|g|}{\log x}\right) \right).$$
(14)

Next, we investigate the difference  $\Pi_0(x;g) - \Pi(x;g)$ . If the prime  $p \leq x$  is counted by  $\Pi_0(x;g)$  but not  $\Pi(x; q)$ , then p passes the  $\ell$ -tests for all  $\ell \leq \log x$  but fails the  $\ell$ -test for some  $\ell > \log x$ . Set

$$x_1 = \log x, \quad x_2 = x^{1/2} (\log x)^{-2} (\log |g|)^{-1}, \quad x_3 = x^{1/2} (\log x)^2 \log |g|,$$

and put

 $I_1 = (x_1, x_2], \quad I_2 = (x_2, x_3], \quad I_3 = (x_3, \infty).$ 

For  $j \in \{1, 2, 3\}$ , let  $E_j$  denote the count of primes  $p \leq x, p \nmid g$ , which fail the  $\ell$ -test for the first time for an  $\ell \in I_j$ . Then

$$\Pi_0(x;g) \ge \Pi(x;g) \ge \Pi_0(x;g) - E_1 - E_2 - E_3.$$
(15)

We proceed to estimate the  $E_j$  in turn.

Invoking again Lemma 2.1,

$$E_{1} \leq \sum_{\ell \in I_{1}} N_{\ell} \ll \sum_{\ell \in I_{1}} \left( \pi(x) \frac{(\ell, h)}{\ell^{2}} + x^{1/2} \log(|g|\ell x) \right)$$
$$\ll \pi(x) \left( \sum_{\ell > \log x} \frac{1}{\ell^{2}} + \sum_{\substack{\ell > \log x \\ \ell \mid h}} \frac{1}{\ell} \right) + x^{1/2} \log(|g|x) \cdot \pi(x_{2}).$$

Since h < x and  $\log h \ll \log \log 2|g|$ ,

$$\sum_{\ell>\log x} \frac{1}{\ell^2} + \sum_{\substack{\ell>\log x\\\ell\mid h}} \frac{1}{\ell} \ll \frac{1}{\log x \cdot \log\log x} + \frac{1}{\log x} \frac{\log h}{\log\log x} \ll \frac{\log\log 2|g|}{\log x \cdot \log\log x}$$
$$= \frac{\varphi(h)}{h} \left(\frac{h/\varphi(h)}{\log x} \frac{\log\log 2|g|}{\log\log x}\right) \ll \frac{\varphi(h)}{h} \left(\frac{\log\log x}{\log x} \frac{\log\log 2|g|}{\log\log x}\right) = \frac{\varphi(h)}{h} \frac{\log\log 2|g|}{\log x},$$

so that

$$\pi(x)\left(\sum_{\ell>\log x}\frac{1}{\ell^2} + \sum_{\substack{\ell>\log x\\\ell\mid h}}\frac{1}{\ell}\right) \ll A(g)\pi(x) \cdot \frac{\log\log 2|g|}{\log x}$$

We are assuming that  $x \ge (\log 2|g|)^3$ . Hence,

$$x_2 \ge x^{1/6} (\log x)^{-2} > x^{1/6}$$

for all x exceeding a certain absolute constant, and  $\log x_2 \gg \log x$ . Thus,  $\pi(x_2) \ll x_2(\log x)^{-1} =$  $x^{1/2}(\log x)^{-3}(\log |g|)^{-1}$ , and

$$x^{1/2}\log(|g|x) \cdot \pi(x_2) \ll \frac{x}{(\log x)^3 \log |g|} (\log |g|x) \ll \pi(x) \frac{\log |g|x}{(\log x)^2 \log |g|}$$
$$\ll \frac{\pi(x)}{\log x} = \frac{\varphi(h)}{h} \pi(x) \cdot \frac{h/\varphi(h)}{\log x} \ll A(g)\pi(x) \frac{\log \log x}{\log x}.$$

Collecting our results,

$$E_1 \ll A(g)\pi(x) \left(\frac{\log\log 2|g|}{\log x} + \frac{\log\log x}{\log x}\right).$$
(16)

We turn now to  $E_2$ . Let  $\ell$  be a prime dividing h. Then every prime  $p \equiv 1 \pmod{\ell}$ , with p not dividing q, satisfies

$$g^{(p-1)/\ell} \equiv 1 \pmod{p},$$

as g is an  $\ell$ th power. Hence, in order for a prime p (not dividing g) to pass the  $\ell$ -test, it must be that  $p \not\equiv 1 \pmod{\ell}$ . By assumption, the primes counted in  $E_2$  pass the  $\ell$ -test for all  $\ell \leq x_2$ , and hence for all  $\ell \leq x^{1/7}$ . So if we let h' denote the  $x^{1/7}$ -smooth part of h, then each prime p counted in  $E_2$  has (p-1,h') = 1. Since p also fails the  $\ell$ -test for some  $p \in I_2$ ,

$$E_2 \leq \sum_{\substack{\ell \in I_2}} \sum_{\substack{p \leq x \\ (p-1,h')=1\\ p \equiv 1 \pmod{\ell}}} 1.$$

Each prime p counted by the inner sum has the form  $p = 1 + \ell m$ . Here  $0 < m < x/\ell$ , and m avoids the residue classes 0 mod r for all primes  $r \mid h, r \leq x^{1/7}$ , as well as the residue classes of  $-1/\ell \mod r$  for each prime  $r < \ell$ . Moreover, for each  $\ell \in I_2$ , we have  $\ell > x_2 > x^{1/7}$  as well as  $x/\ell \geq x/x_3 = x_2 > x^{1/7}$ . Applying Brun's sieve,

$$\sum_{\substack{p \le x \\ (p-1,h')=1 \\ p \equiv 1 \pmod{\ell}}} 1 \ll \frac{x}{\ell} \prod_{\substack{r \le x^{1/7} \\ r \mid h}} \left( 1 - \frac{1+1_{r|h}}{r} \right) \ll \frac{x}{\ell \log x} \prod_{\substack{r \le x^{1/7} \\ r|h}} \left( 1 - \frac{1}{r} \right) \ll \frac{\pi(x)}{\ell} \frac{\varphi(h)}{h} \prod_{\substack{r > x^{1/7} \\ r|h}} \left( 1 + \frac{1}{r} \right).$$

We have from (11) that the final product on r is O(1). Thus,

$$\sum_{\substack{\ell \in I_2 \\ (p-1,h')=1\\ p \equiv 1 \pmod{\ell}}} \sum_{\substack{m \leq x \\ (p-1,h')=1\\ p \equiv 1 \pmod{\ell}}} 1 \ll \pi(x) \frac{\varphi(h)}{h} \sum_{\ell \in I_2} \frac{1}{\ell} \ll \pi(x) \frac{\varphi(h)}{h} \left( \frac{\log \log x}{\log x} + \frac{\log \log 2|g|}{\log x} \right),$$

using Mertens' theorem to estimate the sum on  $\ell$ . As  $A(g) \simeq \varphi(h)/h$ , we conclude that

$$E_2 \ll \pi(x)A(g) \left(\frac{\log\log x}{\log x} + \frac{\log\log 2|g|}{\log x}\right).$$
(17)

Finally we consider  $E_3$ . Each p counted in  $E_3$  has  $g^{(p-1)/\ell} \equiv 1 \pmod{p}$  for some  $\ell > x_3$ . Thus, the order of  $g \mod p$  is smaller than  $x/x_3 = x_2$ , and p divides  $g^m - 1$  for some natural number  $m < x_2$ . The number of distinct prime factors of  $g^m - 1$  is  $O(m \log |g|)$ , and so

$$E_3 \ll \log|g| \sum_{m < x_2} m \ll x_2^2 \log|g| = \frac{x}{(\log^4 x)(\log|g|)}$$

In particular,

$$E_3 \ll \frac{\pi(x)}{\log x} = \frac{\varphi(h)}{h} \pi(x) \cdot \frac{h/\varphi(h)}{\log x} \ll A(g)\pi(x) \cdot \frac{\log\log x}{\log x}.$$
 (18)

Combining (14), (15), (16), (17), and (18),

$$\Pi(x;g) = A(g)\pi(x)\left(1 + O\left(\frac{\log\log x}{\log x} + \frac{\log\log 2|g|}{\log x}\right)\right);$$

this completes the proof of Theorem 1.1.

## 3. An explicit upper bound for the least Artin prime $p_g$ : Proof of Theorem 1.2

Now we turn to the proof of Theorem 1.2. We may assume that |g| is sufficiently large. Let  $x = \log^{B} |g|$  with B = 19, and put  $W = \prod_{2 . Denote by <math>S$  the set of primes  $p \le x$  with (g/p) = -1 and gcd(p-1, W) = 1.

First of all, let us estimate the number of elements in  $\mathcal{S}$ . By inclusion-exclusion,

$$\begin{split} \#\mathcal{S} &= \frac{1}{2} \sum_{\substack{p \le x, p \nmid g \\ (p-1,W) = 1}} (1 - (g/p)) = \frac{1}{2} \sum_{\substack{p \le x \\ (p-1,W) = 1}} (1 - (g/p)) + O(\omega(g)) \\ &= \frac{1}{2} \sum_{p \le x} (1 - (g/p)) \sum_{\substack{d \mid p-1 \\ d \mid W}} \mu(d) + O(\log |g|) \\ &= \frac{1}{2} \sum_{d \mid W} \mu(d) \sum_{\substack{p \le x \\ p \equiv 1 \pmod{d}}} (1 - (g/p)) + O(\log |g|) \\ &= \frac{1}{2} \sum_{d \mid W} \mu(d) \pi(x; d, 1) - \frac{1}{2} \sum_{d \mid W} \mu(d) \sum_{\substack{p \le x \\ p \equiv 1 \pmod{d}}} (g/p) + O(\log |g|), \end{split}$$

where  $\pi(x; d, 1)$  denotes the number of primes  $p \leq x$  with  $p \equiv 1 \pmod{d}$ . To estimate the first sum above, we appeal to [11, Corollary 13.8], the GRH-conditional prime number theorem for primes in arithmetic progressions, to obtain

$$\begin{split} \frac{1}{2} \sum_{d|W} \mu(d) \pi(x; d, 1) &= \frac{1}{2} \sum_{d|W} \mu(d) \left( \frac{\operatorname{Li}(x)}{\varphi(d)} + O\left(x^{1/2} \log x\right) \right) \\ &= \frac{\tilde{A}_0(g)}{2} \operatorname{Li}(x) + O\left(2^{\pi(\log x)} x^{1/2} \log x\right) \\ &= \frac{\tilde{A}_0(g)}{2} \operatorname{Li}(x) + O\left(x^{1/2+o(1)}\right), \end{split}$$

where

$$\tilde{A}_0(g) = \sum_{d|W} \frac{\mu(d)}{\varphi(d)} = \prod_{2 < q \le \log x} \left(1 - \frac{1}{q-1}\right)$$

In addition, we can rewrite the second sum above as

$$\frac{1}{2}\sum_{d|W}\mu(d)\sum_{\substack{\chi \pmod{d}}}\sum_{\substack{p \leq x \\ p \nmid g}}\chi(p)(g/p),$$

by the orthogonality relations of Dirichlet characters, where the second summation in the triple sum runs over all Dirichlet characters  $\chi \pmod{d}$ . It follows that

$$#\mathcal{S} = \frac{A_0(g)}{2} \operatorname{Li}(x) - \frac{1}{2} \sum_{d|W} \frac{\mu(d)}{\varphi(d)} \sum_{\substack{\chi \pmod{d} \\ p \nmid g}} \sum_{\substack{p \leq x \\ p \nmid g}} \chi(p)(g/p) + O\left(x^{1/2 + o(1)}\right).$$
(19)

To estimate the triple sum in (19), we recall that  $\mathbb{Q}(\sqrt{g}) = \mathbb{Q}(\sqrt{g_1})$ , where  $g_1 \neq 1$  is the unique squarefree integer with  $g_1(\mathbb{Q}^{\times})^2 = g(\mathbb{Q}^{\times})^2$ . Let  $\Delta$  be the discriminant of  $\mathbb{Q}(\sqrt{g_1})$ . Then  $(g/p) = (\Delta/p)$  for all odd primes p not dividing g, and for these primes p,  $\chi(p)(g/p)$  can be viewed as the value at p of a character  $\psi_{\chi,g} \pmod{|\Delta|}$ . The character  $\psi_{\chi,g}$  is non-principal unless  $\chi$  is induced

by the primitive character  $(\Delta/\cdot) \pmod{|\Delta|}$ . For that to occur, one needs  $\Delta \mid d$ ; in that eventuality, to each d there corresponds exactly one character  $\chi \pmod{d}$  for which  $\psi_{\chi,g}$  is trivial. All of the dappearing above are odd, squarefree, and divide W, so in order for  $\Delta$  to divide d we need  $\Delta$  to be a squarefree divisor of W. This forces  $\Delta = g_1 \equiv 1 \pmod{4}$  and requires that  $g_1 \mid W$ . By [11, Theorem 13.7], the GRH-conditional estimates for character sums over primes, we have

$$\begin{split} \frac{1}{2} \sum_{d|W} \frac{\mu(d)}{\varphi(d)} \sum_{\chi \pmod{d}} \sum_{\substack{p \leq x \\ p \nmid g}} \chi(p)(g/p) &= \frac{1}{2} \sum_{d|W} \frac{\mu(d)}{\varphi(d)} \left( \mathbf{1}_{g_1|d} \cdot \mathbf{1}_{4|(g_1-1)} \mathrm{Li}(x) + O\left(\varphi(d)x^{1/2}\log(dx)\right) \right) \\ &= \frac{1_{4|(g_1-1), g_1|W}}{2} \mathrm{Li}(x) \sum_{g_1|d|W} \frac{\mu(d)}{\varphi(d)} + O\left(2^{\pi(\log x)}x^{1/2}\log x\right) \\ &= \frac{1_{4|(g_1-1), g_1|W}}{2} \cdot \frac{\mu(g_1)}{\varphi(g_1)} \mathrm{Li}(x) \sum_{d|W/g_1} \frac{\mu(d)}{\varphi(d)} + O\left(x^{1/2+o(1)}\right) \\ &= \frac{1_{4|(g_1-1), g_1|W}}{2} \cdot \frac{\mu(g_1)}{\varphi(g_1)} \mathrm{Li}(x) \prod_{q|W/g_1} \left(1 - \frac{1}{q-1}\right) + O\left(x^{1/2+o(1)}\right) \\ &= \frac{\tilde{A}_0(g)(1 - \tilde{A}_1(g))}{2} \mathrm{Li}(x) + O\left(x^{1/2+o(1)}\right), \end{split}$$

where

$$\tilde{A}_1(g) \coloneqq 1 - 1_{4|(g_1-1), g_1|W} \frac{\mu(g_1)}{\varphi(g_1)} \prod_{q|g_1} \left(1 - \frac{1}{q-1}\right)^{-1} = 1 - 1_{4|(g_1-1), g_1|W} \prod_{q|g_1} \frac{-1}{q-2}$$

Inserting this estimate in (19) yields

$$\#S = \frac{\tilde{A}_0(g)\tilde{A}_1(g)}{2}\mathrm{Li}(x) + O\left(x^{1/2+o(1)}\right).$$
(20)

It is worth noting that

$$\begin{split} \tilde{A}_0(g) &= \prod_{2 < q \le \log x} \left( 1 - \frac{1}{q-1} \right) = \prod_{2 < q \le \log x} \left( 1 - \frac{1}{q} \right) \prod_{2 < q \le \log x} \left( 1 - \frac{1}{q-1} \right) \left( 1 - \frac{1}{q} \right)^{-1} \\ &= \left( 1 + O\left(\frac{1}{\log \log x}\right) \right) \frac{2C_2 e^{-\gamma}}{\log \log x} \end{split}$$

and that

$$\frac{2}{3} = \tilde{A}_1(-15) \le \tilde{A}_1(g) \le \tilde{A}_1(-3) = 2,$$

where

$$C_2 := \prod_{q>2} \left( 1 - \frac{1}{q-1} \right) \left( 1 - \frac{1}{q} \right)^{-1} = \prod_{q>2} \left( 1 - \frac{1}{(q-1)^2} \right)$$

is the twin prime constant. Thus, the main term in (20) is of order  $\operatorname{Li}(x)/\log \log x$ .

Next, we estimate the number of  $p \in S$  modulo which g is not a primitive root. To this end, we count those  $p \in S$  which fail the  $\ell$ -test for some  $\ell > \log x$ . Such an  $\ell$  falls necessarily into one of

the following four intervals:

$$J_1 := (\log x, y_1], \qquad J_2 := (y_1, y_2], J_3 := (y_2, x^{\alpha}], \qquad J_4 := (x^{\alpha}, \infty],$$

where  $\alpha \in (10/19, 1)$  is fixed, and

$$y_1 := \frac{x^{1/2}}{(\log |g|) \log^2 x},$$
  
$$y_2 := x^{1/2 - 1/\log \log x},$$

We start with  $J_1$ . Suppose first that  $\ell \nmid h$ . Applying Lemma 2.1 as in the proof of Theorem 1.1, we see that the count of  $p \in S$  that fail the  $\ell$ -test for some  $\ell \in J_1$  is

$$\ll \sum_{\ell \in J_1} \left( \frac{\operatorname{Li}(x)}{\ell^2} + x^{1/2} \log(|g|\ell x) \right) \ll \operatorname{Li}(x) \sum_{\ell > \log x} \frac{1}{\ell^2} + x^{1/2} \pi(y_1) \log(|g|) \ll \frac{\operatorname{Li}(x)}{\log x}$$

which is negligible compared to the main term in (20). In the case where  $\ell \mid h$ , we observe that a prime  $p \leq x$  failing the  $\ell$ -test satisfies  $p \equiv 1 \pmod{\ell}$  and gcd(p-1,W) = 1. For each  $\ell \in J_1$ , the number of such  $p \leq x$  is

$$\sum_{\substack{p \le x \\ p \equiv 1 \pmod{\ell} \\ (p-1,W)=1}} 1 \le x^{1/3} + \sum_{\substack{m \le x/\ell \\ (m,W)=1 \\ P^-(\ell m+1) > x^{1/3}}} 1 \ll x^{1/3} + \frac{x}{\ell} \prod_{q \le x^{1/3}} \left(1 - \frac{1_{q|W} + 1_{q \neq \ell}}{q}\right) \\ \ll x^{1/3} + \frac{x}{\ell} \prod_{q|W} \left(1 - \frac{1}{q}\right) \prod_{\substack{q \le x^{1/3} \\ q \neq \ell}} \left(1 - \frac{1}{q}\right) \\ \ll \frac{\operatorname{Li}(x)}{\ell \log \log x},$$

by Brun's sieve. Summing this on  $\ell > \log x$  with  $\ell \mid h$  gives

$$\ll \frac{\operatorname{Li}(x)}{\log \log x} \sum_{\substack{\ell > \log x \\ \ell \mid h}} \frac{1}{\ell} \ll \frac{\operatorname{Li}(x)}{(\log x) \log \log x} \sum_{\substack{\ell > \log x \\ \ell \mid h}} 1 \ll \frac{\operatorname{Li}(x)}{(\log x) \log \log x} \cdot \frac{\log h}{\log \log x}$$

Since  $h \ll \log |g| = x^{1/B}$ , this is  $\ll \operatorname{Li}(x)/(\log \log x)^2$ , which is also negligible compared to the main term in (20).

Moving on to  $J_2$ , we seek to bound the number of primes  $p \in S$  failing the  $\ell$ -test for some  $\ell \in J_2$ . Such a prime p certainly satisfies  $p \leq x$ , gcd(p-1, W) = 1, and  $p \equiv 1 \pmod{\ell}$ . Using inclusion-exclusion and invoking [11, Corollary 13.8] again, we find that for each  $\ell \in J_2$ , the number

of such p is

$$\sum_{\substack{p \leq x \\ p \equiv 1 \pmod{\ell} \\ (p-1,W)=1}} 1 = \sum_{d|W} \mu(d)\pi(x;\ell d,1) = \sum_{d|W} \mu(d) \left(\frac{\operatorname{Li}(x)}{\varphi(\ell d)} + O\left(x^{1/2}\log x\right)\right)$$
$$= \frac{\operatorname{Li}(x)}{\varphi(\ell)} \sum_{d|W} \frac{\mu(d)}{\varphi(d)} + O\left(2^{\pi(\log x)}x^{1/2}\log x\right)$$
$$= \frac{\tilde{A}_0(g)}{\ell - 1}\operatorname{Li}(x) + O\left(2^{\pi(\log x)}x^{1/2}\log x\right).$$

Summing this on  $\ell \in J_2$  shows that the number of primes  $p \in \mathcal{S}$  failing the  $\ell$ -test for some  $\ell \in J_2$  is

$$\begin{split} &\sum_{\ell \in J_2} \left( \frac{\tilde{A}_0(g)}{\ell - 1} \operatorname{Li}(x) + O\left(2^{\pi(\log x)} \sqrt{x} \log x\right) \right) \\ &= \tilde{A}_0(g) \operatorname{Li}(x) \left( \log \frac{\log y_2}{\log y_1} + O\left(\frac{1}{\log y_1}\right) \right) + O\left(2^{\pi(\log x)} \pi(y_2) \sqrt{x} \log x\right) \\ &= \left( \tilde{A}_0(g) \log \frac{B}{B - 2} + O\left(\frac{1}{\log \log x}\right) \right) \operatorname{Li}(x) + O\left(x^{1 - (1 - \log 2 + o(1))/\log \log x}\right) \\ &= \left( \tilde{A}_0(g) \log \frac{B}{B - 2} + O\left(\frac{1}{\log \log x}\right) \right) \operatorname{Li}(x), \end{split}$$

where we have made use of the prime number theorem, Mertens' theorem, and the relation  $x = \log^{B} |g|$ .

Now we turn to  $J_3$ . As in the treatment of  $J_2$ , we shall only use that a prime  $p \in S$  failing the  $\ell$ -test satisfies  $p \equiv 1 \pmod{\ell}$  and that gcd(p-1, W) = 1. However, [11, Corollary 13.8] loses its strength in this case, for most  $\ell \in J_3$  go way beyond  $x^{1/2}$ . To get around this issue, we resort to the following "arithmetic large sieve" inequality due to Montgomery (see [10, Chapter 3] and [4, §9.4]) to obtain an asymptotically explicit upper bound for the number of primes  $p \leq x$  satisfying  $p \equiv 1 \pmod{\ell}$  and gcd(p-1, W) = 1, rather than pursue an asymptotic formula for this count.

Arithmetic large sieve. Let Q be a positive integer. To each prime  $p \leq Q$ , associate  $\nu(p) < p$  residue classes modulo p. For every pair of integers M, N, with N > 0, the number of integers in [M + 1, M + N] avoiding the distinguished residue classes mod p for all primes  $p \leq Q$  is bounded above by

$$\frac{N+Q^2}{J}, \quad where \quad J := \sum_{n \le Q} \mu^2(n) \prod_{p|n} \frac{\nu(p)}{p - \nu(p)}$$

By the large sieve, the count of  $p \leq x$  corresponding to a given  $\ell \in J_3$  is at most

$$\sum_{\substack{m \le x/\ell \\ (m,V)=1\\ P^{-}(\ell m+1) > (x/\ell)^{\beta}}} 1 \le \left(\frac{x}{\ell} + \left(\frac{x}{\ell}\right)^{2\beta}\right) \left(\sum_{n \le (x/\ell)^{\beta}} \mu(n)^2 \prod_{q|n} \frac{\nu(q)}{q - \nu(q)}\right)^{-1}$$
(21)

where  $\beta = \beta(x) = 1/2 - 1/\log \log x$ , V is the product of all odd primes not exceeding  $\log x/\log \log x$ , and  $\nu(q) = 1_{q|V} + 1$ . Here we have exploited the facts that  $V \mid W$  and that  $(x/\ell)^{\beta} < \ell$  for every  $\ell \in J_3$ .

To handle the sum on the right-hand side, we observe that  $V = x^{(1+o(1))/\log\log x} = (x/\ell)^{O(1/\log\log x)}$ and that

$$\sum_{n \le (x/\ell)^{\beta}} \mu(n)^2 \prod_{q|n} \frac{\nu(q)}{q - \nu(q)} \ge \left( \sum_{d|V} \mu(d)^2 \prod_{q|d} \frac{2}{q - 2} \right) \left( \sum_{\substack{m \le (x/\ell)^{\beta}/V \\ (m,V) = 1}} \mu(m)^2 \prod_{q|m} \frac{1}{q - 1} \right).$$
(22)

It is easy to see that

$$\sum_{d|V} \mu(d)^2 \prod_{q|d} \frac{2}{q-2} = \prod_{q|V} \left( 1 + \frac{2}{q-2} \right) = \left( 1 + O\left(\frac{\log\log\log x}{\log\log x}\right) \right) \prod_{q|W} \left( 1 + \frac{2}{q-2} \right).$$
(23)

In addition, we have

$$\sum_{\substack{m \le (x/\ell)^{\beta}/V \\ (m,V)=1}} \mu(m)^2 \prod_{q|m} \frac{1}{q-1} = \sum_{\substack{m \le (x/\ell)^{\beta}/V \\ (m,V)=1}} \frac{\mu(m)^2}{\varphi(m)} \ge \frac{\varphi(V)}{V} \sum_{\substack{m \le (x/\ell)^{\beta}/V \\ \varphi(m)}} \frac{\mu(m)^2}{\varphi(m)},$$

where the last inequality follows from

$$\sum_{n \le z} \frac{\mu(n)^2}{\varphi(n)} \le \left(\sum_{d|a} \frac{\mu(d)^2}{\varphi(d)}\right) \left(\sum_{\substack{m \le z \\ (m,a)=1}} \frac{\mu(m)^2}{\varphi(m)}\right)$$

and

$$\sum_{d|a} \frac{\mu(d)^2}{\varphi(d)} = \frac{a}{\varphi(a)}$$

for all  $z \ge 1$  and  $a \in \mathbb{N}$ . Since an application of [11, Eq. (3.18)] yields

$$\sum_{m \le (x/\ell)^{\beta}/V} \frac{\mu(m)^2}{\varphi(m)} > \log\left((x/\ell)^{\beta}/V\right) = \left(\frac{1}{2} + O\left(\frac{1}{\log\log x}\right)\right)\log(x/\ell),$$

we obtain

$$\sum_{\substack{m \le (x/\ell)^{\beta}/V \\ (m,V)=1}} \mu(m)^2 \prod_{q|m} \frac{1}{q-1} \ge \left(\frac{1}{2} + O\left(\frac{1}{\log\log x}\right)\right) \frac{\varphi(V)}{V} \log(x/\ell)$$
$$= \left(\frac{1}{2} + O\left(\frac{\log\log\log x}{\log\log x}\right)\right) \frac{\varphi(W)}{W} \log(x/\ell).$$

Inserting this and (23) in (22) yields

$$\sum_{n \le (x/\ell)^{\beta}} \mu(n)^2 \prod_{q|n} \frac{\nu(q)}{q - \nu(q)} \ge \left(\frac{1}{2} + O\left(\frac{\log\log\log x}{\log\log x}\right)\right) \frac{\varphi(W)}{W} \log(x/\ell) \prod_{q|W} \left(1 + \frac{2}{q - 2}\right)$$
$$= \left(\frac{1}{2} + O\left(\frac{\log\log\log x}{\log\log x}\right)\right) \tilde{A}_0(g)^{-1} \log(x/\ell).$$

Combining the above with (21), we find that the count of  $p \leq x$  corresponding to a given  $\ell \in J_3$  is at most

$$\left(2 + O\left(\frac{\log\log\log x}{\log\log x}\right)\right)\tilde{A}_0(g)\frac{x}{\ell\log(x/\ell)} = \left(2 + O\left(\frac{\log\log\log x}{\log\log x}\right)\right)\tilde{A}_0(g)\frac{\operatorname{Li}(x)\log x}{\ell\log(x/\ell)}.$$

Summing this on  $\ell \in J_3$ , we see that the count of  $p \leq x$  in consideration is at most

$$\left(2 + O\left(\frac{\log\log\log x}{\log\log x}\right)\right)\tilde{A}_0(g)\mathrm{Li}(x)\log x\sum_{\ell\in J_3}\frac{1}{\ell\log(x/\ell)}.$$

By Mertens' theorem and partial summation, we have

$$\begin{split} \sum_{\ell \in J_3} \frac{1}{\ell \log(x/\ell)} &= \int_{t \in J_3} \frac{1}{\log(x/t)} \operatorname{d} \left( \sum_{\ell \le t} \frac{1}{\ell} \right) \\ &= \int_{t \in J_3} \frac{\operatorname{d} t}{t(\log t) \log(x/t)} + \int_{t \in J_3} \frac{1}{\log(x/t)} \operatorname{d} \left( O\left(\frac{1}{\log t}\right) \right) \\ &= \frac{1}{\log x} \int_{1/2 - 1/\log \log x}^{\alpha} \frac{\operatorname{d} u}{u(1-u)} + O\left(\frac{1}{(\log x)^2}\right) \\ &= \frac{1}{\log x} \int_{1/2}^{\alpha} \frac{\operatorname{d} u}{u(1-u)} + O\left(\frac{1}{(\log x) \log \log x}\right) \\ &= \left( \log \frac{\alpha}{1-\alpha} + O\left(\frac{1}{\log \log x}\right) \right) \frac{1}{\log x}. \end{split}$$

Hence, the count of  $p \leq x$  in consideration is at most

$$\left(2\log\frac{\alpha}{1-\alpha} + O\left(\frac{\log\log\log x}{\log\log x}\right)\right)\tilde{A}_0(g)\mathrm{Li}(x).$$

Finally, it remains to estimate the number of primes  $p \in S$  failing the  $\ell$ -test for some  $\ell \in J_4$ . For each such p, the order of  $g \pmod{p}$  is smaller than  $x^{1-\alpha}$ . Thus,  $p \mid (g^m - 1)$  for some positive integer  $m \leq x^{1-\alpha}$ . The number of distinct prime factors of  $g^m - 1$  is  $O(m \log |g|)$ . Hence, the number of primes  $p \in S$  failing the  $\ell$ -test for some  $\ell \in J_4$  is at most

$$\sum_{m \le x^{1-\alpha}} m \log |g| \ll x^{2-2\alpha} \log |g| = x^{2-2\alpha+1/B}.$$

Since  $\alpha \in (10/19, 1)$ , we have  $2 - 2\alpha + 1/B < 1$ . Thus,  $x^{2-2\alpha} \log |g|$  is of smaller order than the main term in (20).

Putting everything together, we deduce that the number of  $p \in S$  having g as a primitive root is at least

$$\left(\frac{\tilde{A}_1(g)}{2} - \log\frac{B}{B-2} - 2\log\frac{\alpha}{1-\alpha} + o(1)\right)\tilde{A}_0(g)\mathrm{Li}(x).$$

Since  $\tilde{A}_1(g) \ge 2/3$ , our choice of B guarantees that

$$\frac{A_1(g)}{2} - \log \frac{B}{B-2} - 2\log \frac{\alpha}{1-\alpha} \ge \frac{1}{3} - \log \frac{B}{B-2} - 2\log \frac{\alpha}{1-\alpha} > 0,$$

provided that  $\alpha \in (10/19, 1)$  is sufficiently close to 10/19. This proves that  $p_g \leq x = \log^B |g|$  with B = 19 for sufficiently large |g|.

*Remark.* Since  $\tilde{A}_1(g) \ge \tilde{A}_1(21) = 4/5$  for g > 1, the proof of Theorem 1.2 shows that the exponent B = 19 can be improved to 16 if we focus merely on positive  $g \in \mathcal{G}$ . Besides, if the square factor of g has size o(|g|) or  $g_1 \not\equiv 1 \pmod{4}$ , we have  $\tilde{A}_1(g) = 1 + o(1)$  for g with |g| sufficiently large.

Consequently, our proof of Theorem 1.2 yields  $p_g \ll \log^{13}(2|g|)$  for these  $g \in \mathcal{G}$ . In particular, this inequality holds for all squarefree  $g \in \mathcal{G}$ .

# 4. The average value of $p_g$ : Proof of Corollary 1.3

The following lemma is due to Vaughan (see Theorem 4.1 in [17]).

**Lemma 4.1.** For a certain constant  $\alpha > 0$ , we have

$$\sum_{2$$

Put  $L = \log x / \log \log x$ . Let  $\delta_p$  be defined as in (6), and put  $M_p = \prod_{r \leq p} r$ . Then  $p_g = p$  precisely when g belongs to one of  $\delta_p M_p$  residue classes modulo  $M_p$ . Since  $M_p \ll 3^p$ ,

$$\#\{g: |g| \le x: p_g = p\} = 2\delta_p x + O(3^p).$$

As  $\#[-x,x] \setminus \mathcal{G} \ll x^{1/2}$ , it follows that

$$\sum_{\substack{g \in \mathcal{G} \\ |g| \le x \\ p_g \le L}} p_g = \sum_{p \le L} p \sum_{\substack{g \in \mathcal{G} \\ |g| \le x \\ p_g = p}} 1 = 2x \sum_{p \le L} p \delta_p + O\left(\sum_{p \le L} p(3^p + x^{1/2})\right)$$
$$= 2x \sum_{p \le L} p \delta_p + O(x^{1/2}L^2).$$
(24)

We now extend the sum on p to infinity, using Lemma 4.1 to estimate the resulting error. Observe that

$$\delta_p < \prod_{r < p} \left( 1 - \frac{\varphi(r-1)}{r} \right) = \prod_{r < p} \left( 1 + \frac{\varphi(r-1)}{r - \varphi(r-1)} \right)^{-1}$$

If r > 2, then r - 1 is even, and  $\varphi(r - 1) \le \frac{r-1}{2}$ . Hence,  $r - \varphi(r - 1) > \varphi(r - 1)$ , and the ratio  $\frac{\varphi(r-1)}{r-\varphi(r-1)} < 1$ . Using the inequality  $1 + u \ge \exp(u/2)$  valid when  $0 \le u \le 1$ , we conclude from Lemma 4.1 that for all sufficiently large p,

$$\prod_{r < p} \left( 1 + \frac{\varphi(r-1)}{r - \varphi(r-1)} \right) \ge \exp\left( \frac{1}{2} \sum_{2 < r < p} \frac{\varphi(r-1)}{r - \varphi(r-1)} \right) \ge \exp(cp/\log p),$$

for  $c := \frac{1}{3}\alpha$ . As a consequence,  $\delta_p \ll \exp(-cp/\log p)$  for all primes p, and

$$\sum_{p>L} p\delta_p \ll \exp\left(-\frac{c}{2}L/\log L\right) \ll \exp(-(\log x)^{1+o(1)}).$$

Referring back to (24), we deduce that

$$\sum_{\substack{g \in \mathcal{G} \\ |g| \leq x \\ p_g \leq L}} p_g = 2x \sum_p p\delta_p + O(x \exp(-(\log x)^{1+o(1)})).$$

Next, we bound the sum of the  $p_g$  taken over  $g \in \mathcal{G}$ ,  $|g| \leq x$ , having  $p_g > L$ . If  $p_g > L$ , then g belongs to one of  $\gamma M$  residue classes mod M, where

$$M := \prod_{r \le L} r$$
, and  $\gamma := \prod_{r \le L} \left( 1 - \frac{\varphi(r-1)}{r} \right)$ 

The number of such g with  $|g| \leq x$  is  $\ll \gamma(x+M) \ll \gamma x$ , noting that  $M \leq 3^L = x^{o(1)}$ . Moreover, essentially the same work used to estimate  $\gamma_p$  shows that  $\gamma \leq \exp(-cL/\log L)$ . (All of this is being claimed for large enough values of x.) So by Theorem 1.2,

$$\sum_{\substack{g \in \mathcal{G} \\ |g| \le x \\ p_g > L}} p_g \le (\max_{\substack{g \in \mathcal{G} \\ |g| \le x \\ p_g > L}} p_g) \sum_{\substack{g \in \mathcal{G} \\ |g| \le x \\ p_g > L}} 1 \ll (\log x)^{16} (x \exp(-cL/\log L)) \ll x \exp(-(\log x)^{1+o(1)})$$

Putting together the pieces,

$$\sum_{\substack{g \in \mathcal{G} \\ |g| \le x}} p_g = 2x \sum_p p\delta_p + O(x/\exp((\log x)^{1+o(1)})).$$

Corollary 1.3 follows; in fact, the ratio appearing on the left in (7) is  $\sum_{p} p\delta_p + O(\exp(-(\log x)^{1+o(1)}))$ .

# 5. An unconditional tamed average: Proof of Theorem 1.4

Our main tool for this proof will be Montgomery's "arithmetic large sieve" inequality introduced in Section 3. Using Montgomery's sieve, Vaughan showed [17] that  $p_g \leq N^{1/2}$  for all  $g \in [M+1, M+N]$ , apart from  $O(N^{1/2}(\log N)^{1-\alpha})$  exceptions, where  $\alpha$  is the constant of Lemma 4.1. Earlier Gallagher [5] had shown such a result with 1 in place of  $1 - \alpha$ . The next proposition implies that  $N^{1/2}$  can be replaced by a large power of  $\log N$ , if one is willing to slightly inflate the exponent 1/2 on N in the size of the exceptional set.

**Proposition 5.1.** Let  $M, N \in \mathbb{Z}$  with N > 100. Let Y be a real number satisfying

$$\log^2 N \le Y \le \exp\left(\log N \frac{\log\log\log N}{\log\log N}\right)$$

The count of integers g in [M + 1, M + N] with  $p_g > Y$  does not exceed

$$N^{1/2} \exp\left(O\left(\log N \frac{\log\log\log N}{\log\log N}\right)\right) \cdot \exp(u\log u),$$

where  $u := \frac{1}{2} \frac{\log N}{\log Y}$ . Here the O-constant is absolute.

Note that if  $Y = \log^{K} N$  for a fixed  $K \ge 1$ , then the upper bound in the conclusion of Proposition 5.1 assumes the form  $N^{\frac{1}{2}(1+1/K)+o(1)}$ , as  $N \to \infty$ .

Proof of Proposition 5.1. We may assume N is sufficiently large. We apply the arithmetic large sieve with  $Q = N^{1/2}$ , taking  $\nu(p) = \varphi(p-1)$  for  $p \leq Y$ , and  $\nu(p) = 0$  for Y . It suffices to show that with these choices of parameters, the denominator

$$J = \sum_{n \le N^{1/2}} \mu^2(n) \prod_{p|n} \frac{\varphi(p-1)}{p - \varphi(p-1)}.$$
(25)

in the sieve bound satisfies

$$J \ge N^{1/2} \exp\left(O\left(\log N \frac{\log\log\log N}{\log\log N}\right)\right) \cdot \exp(-u\log u).$$
(26)

Let R be the number of primes  $p \in [\frac{1}{2}Y, Y]$  for which the smallest prime factor of  $\frac{p-1}{2}$  exceeds  $Y^{1/5}$ . By the linear sieve and the Bombieri–Vinogradov theorem,

$$R > Y/\log^3 Y.$$

(We need for the application of Bombieri–Vinogradov that  $\frac{1}{5} < \frac{1}{4}$ .) For each such p, the ratio  $\frac{\varphi(p-1)}{p-1} = \frac{1}{2} \prod_{\ell \mid p-1, \ell > 2} (1 - 1/\ell) > \frac{1}{2} (1 - y^{-1/5})^4 > 2/5$  (say). Hence,  $\frac{\varphi(p-1)}{p} > \frac{1}{3}$ , and  $\frac{\varphi(p-1)}{p-\varphi(p-1)} > \frac{1}{2}$ . Let  $u_0 = \lfloor \log(N^{1/2})/\log Y \rfloor$  (so that  $u_0 = \lfloor u \rfloor$ , with u as in the proposition). By considering the contribution to the right-hand side of (25) from products of  $u_0$  distinct primes p of the above kind, we see that  $J \ge 2^{-u_0} {R \choose u_0}$ . Now  $R > Y/(\log Y)^3 > (\log N)^{3/2} > u_0$ . Since  ${n \choose k} \ge (n/k)^k$  for each pair of integers n, k with  $n \ge k > 0$ , we conclude that

$$\frac{1}{2^{u_0}} \binom{R}{u_0} \ge (R/2u_0)^{u_0} \ge (R/2)^{u_0} \exp(-u\log u)$$

Furthermore,

$$(R/2)^{u_0} \ge (R/2)^{u-1} \ge Y^{u-1}(2(\log Y)^3)^{-u} = N^{1/2}Y^{-1}(2(\log Y)^3)^{-u}.$$

The assumed bounds on Y ensure that  $Y^{-1}(2(\log Y)^3)^{-u} = \exp\left(O\left(\log N \frac{\log \log \log N}{\log \log N}\right)\right)$ . Our desired lower estimate (26) follows by combining the last two displays.

Proof of Theorem 1.4. Fix  $K \ge 2$  with  $\eta + \frac{1}{2}(1+1/K) < 1$ . We first consider the contribution of  $g \in \mathcal{G}$ ,  $|g| \le x$ , having  $p_g \le \log^K(3x)$ . Note that the corresponding summand  $\min\{p_g, x^{\frac{1}{2}-\varepsilon}\} = p_g$  for these values of g (once x exceeds a certain constant depending only on K).

In the course of proving Corollary 1.3, we showed that with  $L = \log x / \log \log x$ ,

$$\sum_{\substack{g \in \mathcal{G}, \ |g| \le x \\ p_g \le L}} p_g = 2x \sum_p p\delta_p + O(x \exp(-(\log x)^{1+o(1)}))$$

Furthermore, the count of  $g \in \mathcal{G}$ ,  $|g| \leq x$  with  $p_q > L$  is  $O(x \exp(-cL/\log L))$ . Hence,

$$\sum_{\substack{g \in \mathcal{G}, \ |g| \le x \\ L < p_g \le \log^K(3x)}} p_g \ll x \log^K(3x) \exp(-cL/\log L) \ll x \exp(-(\log x)^{1+o(1)}).$$

Therefore, the theorem will be proved if is shown that

$$\sum_{\substack{g \in \mathcal{G}, \ |g| \le x \\ p_g > \log^K(3x)}} \min\{p_g, x^{\frac{1}{2} - \varepsilon}\} = o(x),$$

as  $x \to \infty$ . For this we apply Proposition 5.1. Choose M and N with  $M + 1 = -\lfloor x \rfloor$  and  $M + N = \lfloor x \rfloor$ ; then [M + 1, M + N] is the set of all integers g with  $|g| \le x$ , and  $N = 2\lfloor x \rfloor + 1 < 3x$ . Thus, if  $p_g > \log^K (3x)$ , then  $p_g > \log^K N$ . By Proposition 5.1, the number of such g,  $|g| \le x$ , is at most  $x^{\frac{1}{2}(1+1/K)+o(1)}$ . It follows that the sum appearing in the last display is bounded above by  $x^{\eta} \cdot x^{\frac{1}{2}(1+1/K)+o(1)}$ , which is o(x) by our choice of K.

#### 6. Almost-primitive roots

In the statement and proof of Theorem 1.4, there is no need to restrict g to  $\mathcal{G}$ ; all the arguments work just as well if we average  $\min\{p_g, x^{\frac{1}{2}-\varepsilon}\}$  over all  $g, |g| \leq x$ . For this unrestricted average, the exponent  $\frac{1}{2}$  in the cutoff is optimal, in that even, square values of g push the average of  $\min\{p_g, x^{\frac{1}{2}+\varepsilon}\}$  to infinity. One could hope to transcend  $\frac{1}{2}$  after restoring the condition  $g \in \mathcal{G}$ , but it is not clear how to work that  $g \in \mathcal{G}$  into the proof of a result like Proposition 5.1.

Recall from the introduction that g is called an **almost-primitive root** mod p when g generates a subgroup of  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  of index at most 2. Define  $p_g^*$  analogously to  $p_g$  but with "almost-primitive root" in place of "primitive root." We then expect that  $p_g^* < \infty$  for every nonzero  $g \in \mathbb{Z}$ . This seems difficult to establish unconditionally, but it can be seen to follow from GRH by a slight modification of Hooley's argument.

Our final theorem is an upper bound on the frequency of large values of  $p_g^*$ , strong enough to imply that  $\min\{p_g^*, x^{1-\varepsilon}\}$  has its expected mean value.

**Theorem 6.1.** For all  $x \ge 2$ , there are  $O(\log^3 x)$  integers g,  $|g| \le x$ , with  $p_g^* > \log^4 x$ .

Most of this section will be devoted to the proof of Theorem 6.1, but we start with a few words about the application of this theorem to the average of  $p_g^*$ . Put  $F(p) = 1_{p>2}\varphi(\frac{p-1}{2}) + \varphi(p-1)$ , so that F(p) is the number of almost primitive roots mod p. Let

$$\delta_p^* = \frac{F(p)}{p} \prod_{r < p} \left( 1 - \frac{F(r)}{r} \right)$$

Reasoning as in the introduction, we expect  $p_g^*$  to have mean value  $\sum_p p\delta_p^*$ . Under GRH this could be proved analogously to our Corollary 1.3. Using Theorem 6.1, we obtain (unconditionally) that for each positive  $\varepsilon \in (0, 1)$ , the average of min $\{p_g^*, x^{1-\varepsilon}\}$  tends to  $\sum_p p\delta_p^*$ . For this, follow the argument for Theorem 1.4 but plug in Theorem 6.1 in place of Proposition 5.1.

We turn now to the proof of Theorem 6.1. This requires a new ingredient, Gallagher's "larger sieve" (see [6] or [4, §9.7]).

**Larger sieve.** Let  $N \in \mathbb{N}$ , and let S be a finite set of prime powers. Suppose that all but  $\overline{\nu}(q)$  residue classes mod q are removed for each  $q \in S$ . Then among any N consecutive integers, the number remaining unsieved does not exceed

$$\left(\sum_{q\in\mathcal{S}}\Lambda(q) - \log N\right) \middle/ \left(\sum_{q\in\mathcal{S}}\frac{\Lambda(q)}{\overline{\nu}(q)} - \log N\right),\tag{27}$$

as long as the denominator is positive.

We call  $\theta \in (0, 1)$  admissible if, for all large enough values of Y, we have

$$\#\{p \le Y : P^-(\frac{p-1}{2}) > Y^\theta\} \gg Y/\log^2 Y.$$

(The implied constant here is allowed to depend on  $\theta$ .) As remarked in the proof of Proposition 5.1, the Bombieri–Vinogradov theorem in conjunction with the linear sieve implies that any  $\theta < \frac{1}{4}$  is admissible. It is known how to do a little better; for instance, [4, Theorem 25.11] shows that

 $\theta = 3/11$  is admissible. Any admissible  $\theta > 0$  would yield a version of Theorem 6.1; to obtain the clean exponents of 3 and 4, we will use the existence of an admissible  $\theta > \frac{1}{4}$ .

Proof of Theorem 6.1. Fix an admissible  $\theta > \frac{1}{4}$ . We let x be large, and we sieve the  $N := 2\lfloor x \rfloor + 1$  integers in the interval [-x, x]. With  $y := \log^4 x$ , let

$$\mathcal{S} = \left\{ \text{primes } p : 3 y^{\theta} \right\},\$$

so that

$$\#\mathcal{S} \gg \frac{y}{\log^2 y}.$$

(Here  $P^{-}(\cdot)$  denotes the smallest prime factor.) For each  $p \in S$ , we remove every residue class except 0 mod p and the classes corresponding to integers whose order mod p does not exceed

$$z := y^{1-\theta}$$

Then, in the notation of the larger sieve,

$$\overline{\nu}(p) = 1 + \sum_{\substack{f \mid p-1 \\ f \le z}} \varphi(f).$$
(28)

Suppose the integer g,  $|g| \leq x$ , is removed in the sieve. Then there is a prime  $p \in S$  not dividing g for which the order  $\ell$  (say) of  $g \mod p$  exceeds z. Then  $\frac{p-1}{\ell} < y/z = y^{\theta}$ , while every odd divisor of p-1 exceeds  $y^{\theta}$ . Thus (keeping in mind that  $p \equiv 3 \pmod{4}$ ),  $\ell = \frac{p-1}{2}$  or p-1, meaning that g is an almost-primitive root mod p. In particular,  $p_g^* \leq y$ .

Hence, the number of g,  $|g| \leq x$ , with  $p_g^* > y$  is bounded above by the count of unsieved integers, which can be approached with the larger sieve. The arguments below draw inspiration from Gallagher's proof of Theorem 2 in [6].

By the Cauchy–Schwarz inequality,

$$\left(\sum_{p\in\mathcal{S}}\frac{\log p}{\overline{\nu}(p)}\right)\left(\sum_{p\in\mathcal{S}}\overline{\nu}(p)\log p\right) \ge \left(\sum_{p\in\mathcal{S}}\log p\right)^2 \gg (\log(y)\cdot\#\mathcal{S})^2 \gg y^2/\log^2 y.$$

(We use here that  $\log p \gg \log y$  for each  $p \in S$ , which follows from  $P^{-}(\frac{p-1}{2}) > y^{\theta}$ .) On the other hand, referring back to (28),

$$\sum_{p \in \mathcal{S}} \overline{\nu}(p) \log p \leq \sum_{p \in \mathcal{S}} \log p + \sum_{f \leq z} \varphi(f) \sum_{\substack{p \in \mathcal{S} \\ (\text{mod } f)}} \log p$$
$$\ll (\log y) \# \mathcal{S} + \log y \sum_{f \leq z} \varphi(f) \# \{ p \in \mathcal{S} : p \equiv 1 \pmod{f} \}.$$

Brun's sieve implies that  $\#S \ll y/\log^2 y$ . Brun's sieve also handles the counts in appearing in the sum on f: If  $p \in S$ ,  $p \equiv 1 \pmod{f}$ , and  $p > y^{\theta}$ , then  $t := \frac{p-1}{f} < y/f$ , and both tf + 1, t have no odd prime factors up to  $y^{\theta}$ . The sieve shows that the number of such t is

$$\ll \frac{y}{f} \prod_{2 < r \le y^{\theta}} \left( 1 - \frac{1 + 1_{r \nmid f}}{r} \right) \ll \frac{y}{f \log^2 y} \prod_{r \mid f} \left( 1 - \frac{1}{r} \right)^{-1} = \frac{y}{\varphi(f) \log^2 y}$$

Since there are trivially at most  $y^{\theta}/f$  primes up to  $y^{\theta}$  in the residue class 1 mod f,

$$\#\{p \in \mathcal{S} : p \equiv 1 \pmod{f}\} \ll \frac{y}{\varphi(f) \log^2 y}$$

and

$$\log y \sum_{f \le z} \varphi(f) \# \{ p \in \mathcal{S} : p \equiv 1 \pmod{f} \} \ll yz/\log y$$

We conclude that

$$\sum_{p \in \mathcal{S}} \overline{\nu}(p) \log p \ll yz / \log y,$$

and hence

$$\sum_{p \in \mathcal{S}} \frac{\log p}{\overline{\nu}(p)} \gg \frac{y^2 / \log^2 y}{yz / \log y} = \frac{y}{z} \frac{1}{\log y} = \frac{y^{\theta}}{\log y}.$$

Since  $y = \log^4 x$  and  $\theta > \frac{1}{4}$ , this last expression is of larger order than  $\log N$ , and the denominator in (27) is  $\gg y^{\theta}/\log y$ . The numerator in (27) is  $\ll (\log y) \# S \ll y/\log y$ . Therefore, the number of unsieved g,  $|g| \le x$ , is

$$\ll \frac{y/\log y}{y^{\theta}/\log y} = y^{1-\theta}$$

By our choices of y and  $\theta$ , this last expression is  $o(\log^3 x)$ .

Remark. Fix an admissible  $\theta \in (0, 1)$ , and set  $y = ((\log x)(\log \log x)^2)^{1/\theta}$ . A slight tweak to the above argument shows that there are  $O(y^{1-\theta})$  integers g,  $|g| \leq x$ , with  $p_g^* > y$ . Taking  $\theta = 3/11$ , we can replace the exponents 3 and 4 in Theorem 6.1 with 8/3 + o(1) and 11/3 + o(1), respectively. It is probably the case that every  $\theta \in (0, 1)$  is admissible; if so, those exponents can be brought arbitrarily close to 0 and 1.

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