

# The sum of divisors of $n$ , modulo $n$



Paul Pollack  
(joint work with Aria Anavi,  
Carl Pomerance, and Vladimir  
Shevelev)

UBC/SFU/UGA

June 17, 2012

## Backstory

---

Let  $\sigma(n) := \sum_{d|n} d$  denote the sum of the divisors of  $n$ . Thus, for example,

$$\sigma(14) = 1 + 2 + 7 + 14 = 24.$$

Many of the oldest problems in number theory can be considered attempts to better understand the behavior of  $\sigma(n)$ .

## Backstory

---

Let  $\sigma(n) := \sum_{d|n} d$  denote the sum of the divisors of  $n$ . Thus, for example,

$$\sigma(14) = 1 + 2 + 7 + 14 = 24.$$

Many of the oldest problems in number theory can be considered attempts to better understand the behavior of  $\sigma(n)$ .

### Definition

A natural number  $n$  is called **perfect** if  $\sigma(n) = 2n$  and **multiply perfect** if  $\sigma(n) = kn$  for some  $k$ . In other words,  $n$  is multiply perfect if  $\sigma(n) \equiv 0 \pmod{n}$ .

For example,  $n = 28$  is perfect (since  $\sigma(n) = 56$ ) and  $n = 120$  is multiply perfect (since  $\sigma(120) = 360$ ).

We don't know if there are infinitely many perfect numbers or whether there are infinitely many multiply perfect numbers.

We have had better luck with upper bounds.

## Theorem

We have the following estimates for  $V(x)$ , the number of perfect numbers up to  $x$ :

$$\text{Volkmann, 1955} \quad V(x) = O(x^{5/6})$$

$$\text{Hornfeck, 1955} \quad V(x) = O(x^{1/2})$$

$$\text{Kanold, 1956} \quad V(x) = o(x^{1/2})$$

$$\text{Erdős, 1956} \quad V(x) = O(x^{1/2-\delta})$$

$$\text{Kanold, 1957} \quad V(x) = O\left(x^{1/4} \frac{\log x}{\log \log x}\right)$$

$$\text{Hornfeck \& Wirsing, 1957} \quad V(x) = O(x^\epsilon)$$

The Hornfeck–Wirsing estimate hold also for the number of multiply perfect  $n \leq x$ .

## Every 1 can make a difference

---

### Definition

A natural number  $n$  is called **quasiperfect** (or **slightly excessive**) if  $\sigma(n) = 2n + 1$ . A number is called **multiply quasiperfect** if  $\sigma(n) \equiv 1 \pmod{n}$ .

## Every 1 can make a difference

---

### Definition

A natural number  $n$  is called **quasiperfect** (or **slightly excessive**) if  $\sigma(n) = 2n + 1$ . A number is called **multiply quasiperfect** if  $\sigma(n) \equiv 1 \pmod{n}$ .

### Question

*Can we show that the number of multiply quasiperfect  $n \leq x$  is eventually smaller than  $x^\epsilon$ ?*

**Answer:** No.

## Every 1 can make a difference

---

### Definition

A natural number  $n$  is called **quasiperfect** (or **slightly excessive**) if  $\sigma(n) = 2n + 1$ . A number is called **multiply quasiperfect** if  $\sigma(n) \equiv 1 \pmod{n}$ .

### Question

*Can we show that the number of multiply quasiperfect  $n \leq x$  is eventually smaller than  $x^\epsilon$ ?*

**Answer:** No. Every prime  $p$  satisfies  $\sigma(p) = p + 1 \equiv 1 \pmod{p}$ .



## Every 1 can make a difference

---

### Definition

A natural number  $n$  is called **quasiperfect** (or **slightly excessive**) if  $\sigma(n) = 2n + 1$ . A number is called **multiply quasiperfect** if  $\sigma(n) \equiv 1 \pmod{n}$ .

### Question

*Can we show that the number of multiply quasiperfect  $n \leq x$  is eventually smaller than  $x^\epsilon$ ?*

**Answer:** No. Every prime  $p$  satisfies  $\sigma(p) = p + 1 \equiv 1 \pmod{p}$ .

### New question

*Can we show that the number of **composite** multiply quasiperfect  $n \leq x$  is eventually smaller than  $x^\epsilon$ ?*

## Theorem

The number of composite multiply quasi-perfect numbers up to  $x$  is at most

$$x^{1/2} \exp \left( (2 + o(1)) \sqrt{\frac{\log x}{\log \log x}} \right).$$

## Theorem (Anavi, P., Pomerance)

Consider the congruence  $\sigma(n) \equiv a \pmod{n}$ . If there is a multiply perfect number  $m$  with  $\sigma(m) = a$ , then every number  $n = mp$  with  $p \nmid m$  satisfies this congruence (**trivial solutions**).

The number of solutions  $n$  to the congruence **not** of this form (**sporadic solutions**) is at most

$$x^{1/2+o(1)}, \quad \text{as } x \rightarrow \infty,$$

uniformly for  $|a| \leq x^{1/4}$ .

## Messing with perfection

---

### Definition

A natural number  $n$  is called **near-perfect** if  $n$  is the sum of all of its proper divisors except one of them, called the **redundant divisor**. Equivalently,  $n$  is **near-perfect** with **redundant divisor**  $d$  when

$$\sigma(n) = 2n + d, \quad \text{where } d \text{ is a proper divisor of } n.$$

## Messing with perfection

---

### Definition

A natural number  $n$  is called **near-perfect** if  $n$  is the sum of all of its proper divisors except one of them, called the **redundant divisor**. Equivalently,  $n$  is **near-perfect** with **redundant divisor**  $d$  when

$$\sigma(n) = 2n + d, \quad \text{where } d \text{ is a proper divisor of } n.$$

### Example

196 is near-perfect with redundant divisor 7, since  $\sigma(196) = 2 \cdot 196 + 7$ .

The near-perfect numbers are (OEIS #A181595)

12, 18, 20, 24, 40, 56, 88, 104, 196, 224, 234, 368, 464, 650, 992, 1504, 1888, 1952, 3724, 5624, 9112, 11096, 13736, 15376, ...

We cannot prove that there are infinitely many near-perfect numbers, though we have certain Euclid-style families. For instance, if  $M_p := 2^p - 1$  is prime, then

$$2^{p-1} M_p^2$$

is near-perfect with redundant divisor  $M_p$ .

We cannot prove that there are infinitely many near-perfect numbers, though we have certain Euclid-style families. For instance, if  $M_p := 2^p - 1$  is prime, then

$$2^{p-1} M_p^2$$

is near-perfect with redundant divisor  $M_p$ .

In the opposite direction, we can prove the following:

**Theorem (Anavi, P., Pomerance, Shevelev)**

*The number of near-perfect numbers in  $[1, x]$  is at most  $x^{3/4+o(1)}$ , as  $x \rightarrow \infty$ .*

## Sketch of the proof

---

If  $\sigma(n) = 2n + d$ , then  $\sigma(n) \equiv d \pmod{n}$ . Moreover,  $n$  is a **sporadic** solution to this congruence.

For each  $d \leq x^{1/4}$ , we can apply our theorem to get an upper bound of  $\approx x^{1/2}$  for each such  $d$ , and so an upper bound of  $\approx x^{1/2} \cdot x^{1/4} = x^{3/4}$  total.

Suppose  $d > x^{1/4}$ . Since  $d \mid n$  and  $d \mid \sigma(n)$ , we have  $\gcd(n, \sigma(n)) \geq d > x^{1/4}$ . Now we use the following theorem with  $\alpha = \frac{1}{4}$ .

### Theorem (P.)

*Fix  $0 < \alpha < 1$ . The number of  $n \leq x$  with  $\gcd(n, \sigma(n)) > x^\alpha$  is  $x^{1-\alpha+o(1)}$ .*

## A man's reach should exceed his grasp

---

Say that  $n$  is  **$k$ -nearly-perfect** if  $n$  is the sum of all its proper divisors with at most  $k$  exceptions.

- If  $k = 1$ , the  $k$ -nearly-perfects consist of the perfect numbers and the near-perfect numbers. The number of these up to  $x$  is at most  $x^{3/4+o(1)}$ . So we save a power of  $x$  over the trivial upper bound.



## A man's reach should exceed his grasp

---

Say that  $n$  is  $k$ -**nearly-perfect** if  $n$  is the sum of all its proper divisors with at most  $k$  exceptions.

- If  $k = 1$ , the  $k$ -nearly-perfects consist of the perfect numbers and the near-perfect numbers. The number of these up to  $x$  is at most  $x^{3/4+o(1)}$ . So we save a power of  $x$  over the trivial upper bound.
- If  $k \geq 4$ , we don't save a power of  $x$ ; this is because

$$6p = p + 2p + 3p$$

is 4-near-perfect for each  $p > 3$ .

## A man's reach should exceed his grasp

---

Say that  $n$  is  $k$ -**nearly-perfect** if  $n$  is the sum of all its proper divisors with at most  $k$  exceptions.

- If  $k = 1$ , the  $k$ -nearly-perfects consist of the perfect numbers and the near-perfect numbers. The number of these up to  $x$  is at most  $x^{3/4+o(1)}$ . So we save a power of  $x$  over the trivial upper bound.
- If  $k \geq 4$ , we don't save a power of  $x$ ; this is because

$$6p = p + 2p + 3p$$

is 4-near-perfect for each  $p > 3$ .

- **Problem:** What about  $k = 2$  and  $k = 3$ ?

## A man's reach should exceed his grasp

---

Say that  $n$  is  $k$ -**nearly-perfect** if  $n$  is the sum of all its proper divisors with at most  $k$  exceptions.

- If  $k = 1$ , the  $k$ -nearly-perfects consist of the perfect numbers and the near-perfect numbers. The number of these up to  $x$  is at most  $x^{3/4+o(1)}$ . So we save a power of  $x$  over the trivial upper bound.
- If  $k \geq 4$ , we don't save a power of  $x$ ; this is because

$$6p = p + 2p + 3p$$

is 4-near-perfect for each  $p > 3$ .

- **Problem:** What about  $k = 2$  and  $k = 3$ ?

One can also study  $n$  with **exactly**  $k$  redundant divisors. We can prove that for all large  $k$ , the counting function of such numbers grows at least as fast as  $x/\log x$ .

Thank you!