

THE REPRESENTATION FUNCTION FOR SUMS OF THREE SQUARES ALONG ARITHMETIC PROGRESSIONS

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ABSTRACT. For positive integers n , let $r(n) = \#\{(x, y, z) \in \mathbb{Z}^3 : x^2 + y^2 + z^2 = n\}$. Let g be a positive integer, and let $A \bmod M$ be any congruence class containing a squarefree integer. We show that there are infinitely many squarefree positive integers $n \equiv A \bmod M$ for which g divides $r(n)$. This generalizes a result of Cho.

1. INTRODUCTION

For each positive integer n , let $r(n)$ denote the number of ways of writing n as a sum of three squares, i.e., $r(n) = \#\{(x, y, z) \in \mathbb{Z}^3 : x^2 + y^2 + z^2 = n\}$. Recently, Cho established the following result concerning values of $r(n)$ divisible by a fixed integer [2, Theorem 2].

Theorem A. *Let g be a positive integer.*

- (i) *There are infinitely many squarefree $n \equiv 1 \pmod{4}$ for which $12g \mid r(n)$.*
- (ii) *If g is odd, then there are infinitely many squarefree $n \equiv 2 \pmod{4}$ for which $12g \mid r(n)$.*
- (iii) *If g is odd, then there are infinitely many squarefree $n \equiv 3 \pmod{8}$ for which $24g \mid r(n)$.*

In this note, we strengthen Theorem A by proving a divisibility result valid not only for the progressions $1, 2 \pmod{4}$ and $3 \pmod{8}$, but for any progression $A \bmod M$ compatible with the squarefree condition. Moreover, in every case we guarantee divisibility by an arbitrary positive integer g .

Theorem 1. *Let g be a positive integer. Let $A \bmod M$ be any congruence class containing a squarefree integer. There are infinitely many squarefree $n \equiv A \bmod M$ for which $g \mid r(n)$.*

Corollary 2. *Let g be a positive integer. Let $A \bmod M$ be a congruence class containing a squarefree integer, and suppose that $A \bmod M$ is not entirely contained in the residue class $7 \pmod{8}$. There are infinitely many squarefree $n \equiv A \bmod M$ with $r(n)$ a nonzero multiple of g .*

Remark. It is well-known that the progression $A \bmod M$ contains at least one squarefree integer precisely when $\gcd(A, M)$ is squarefree, in which case a positive proportion of the positive integers $n \equiv A \bmod M$ are squarefree. See, for instance, §2 of Pappalardi's survey [9].

2. PROOF OF THEOREM 1 AND COROLLARY 2

2.1. Sketch. We require two auxiliary results. The first is essentially due to Gauss [4, Art. 291] (cf. [5, Chapter 4]). In what follows, we write $h(d)$ for the class number of the quadratic field $\mathbb{Q}(\sqrt{d})$.

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Proposition 3. *Let n be a squarefree integer with $n > 3$.*

- (i) *If $n \equiv 1, 2 \pmod{4}$, then $r(n) = 12h(-n)$.*
- (ii) *If $n \equiv 3 \pmod{8}$, then $r(n) = 24h(-n)$.*
- (iii) *If $n \equiv 7 \pmod{8}$, then $r(n) = 0$.*

At the heart of the proof of Theorem 1 is a divisibility result for class numbers of imaginary quadratic fields (compare with [2, Theorem 1]).

Proposition 4. *Let g be a positive integer. Let $A \pmod{M}$ be a congruence class containing a squarefree integer. There are infinitely many positive squarefree integers $d \equiv A \pmod{M}$ for which the class group of $\mathbb{Q}(\sqrt{-d})$ contains an element of order g .*

Proof of Theorem 1. Suppose $d > 3$ is squarefree with $d \equiv A \pmod{M}$ and with the class group of $\mathbb{Q}(\sqrt{-d})$ containing an element of order g . Then g divides $h(-d)$, which in turn divides $r(d)$ by Proposition 3. By Proposition 4, there are infinitely many of these d , and Theorem 1 follows. \square

Proof of Corollary 2. We claim we can find an arithmetic progression contained in the intersection of the progression $A \pmod{M}$ and one of the progressions $1, 2, 3, 5, 6 \pmod{8}$, and containing a squarefree integer. Keeping in mind Proposition 3, the corollary then follows from Theorem 1.

Let A_0 be a squarefree integer from the residue class $A \pmod{M}$. Suppose first that $A_0 \not\equiv 7 \pmod{8}$. In this case $A_0 \pmod{8M}$ is the desired progression. Suppose now that $A_0 \equiv 7 \pmod{8}$. Then $8 \nmid M$, so that $\text{lcm}[4, M] \equiv 4 \pmod{8}$. Then $A_0 + \text{lcm}[4, M] \equiv 3 \pmod{8}$ and $\text{gcd}(A_0 + \text{lcm}[4, M], 8M)$ is squarefree. So (keeping in mind Remark 1) the residue class $A_0 + \text{lcm}[4, M] \pmod{8M}$ has the desired properties. \square

The remainder of this note is devoted to a proof of Proposition 4.

2.2. Proof of Proposition 4. To construct our imaginary quadratic fields, we employ a lemma appearing in work of Soundararajan [10, Proposition 1] (compare with earlier results of Nagell [8, Sätze IV, V], Humbert [6, Théorème 1], and Ankeny and Chowla [1, Theorem 1]).

Lemma 5. *Let $g \geq 3$ be an integer. Suppose $d \geq 63$ is a squarefree integer satisfying*

$$(1) \quad t^2d = m^g - n^2,$$

where t, m, n are positive integers with $\text{gcd}(m, 2n) = 1$ and $m^g < (d + 1)^2$. Then the class group of $\mathbb{Q}(\sqrt{-d})$ contains an element of order g .

We will also use the following elementary result concerning g th power residues. Below, we write $\nu_p(g)$ for the p -adic valuation of the integer g .

Lemma 6. *Let g be a positive integer. If p is an odd prime, then every integer $n \equiv 1 \pmod{p^{\nu_p(g)+1}}$ is a g th power in the ring \mathbb{Z}_p of p -adic integers. The same holds if $p = 2$ under the stronger hypothesis that $n \equiv 1 \pmod{p^{\nu_p(g)+2}}$.*

Proof. This follows from the fact that the usual binomial expansion for $(1 + x)^{1/g}$ converges p -adically for $|x|_p \leq p^{-\nu_p(g)-1}$ when p is odd, and for $|x|_p \leq p^{-\nu_p(g)-2}$ when $p = 2$ (see, for instance, [3, Corollary 4.2.16, p. 216]). \square

Proof of Proposition 4. The case $g = 1$ is trivial. Suppose $g = 2$. By genus theory, $h(-d)$ is odd for a positive squarefree number $d > 2$ if and only if d is a prime with $d \equiv 3 \pmod{4}$. Since the primes have asymptotic density 0, it follows that the conclusion of Proposition 4 holds for asymptotically 100% of squarefree $d \equiv A \pmod{m}$. Henceforth, we assume that $g \geq 3$. Let A_0 be a squarefree integer with $A_0 \equiv A \pmod{M}$. By replacing A with A_0 and M by $4M^2$, we can assume that M is even, squarefull, and that no integer congruent to $A \pmod{M}$ is divisible by the square of a prime dividing M . Set

$$t = 2 \prod_{p|M} p^{\nu_p(g)+1}.$$

We fix an integer m_0 satisfying

$$m_0^g \equiv 1 + t^2 A \pmod{Mt^2}.$$

Such an m_0 exists, since $1 + t^2 A$ is a g th power in \mathbb{Z}_p for every prime $p \mid Mt^2$, by Lemma 6. If $n \equiv 1 \pmod{Mt^2}$, and $m \equiv m_0 \pmod{Mt^2}$, then $m^g - n^2 \equiv t^2 A \pmod{Mt^2}$, so that $t^2 \mid m^g - n^2$, and

$$(2) \quad d := \frac{m^g - n^2}{t^2} \equiv A \pmod{M}.$$

We now impose further conditions on m and n in order to apply Lemma 5.

Let x be a large real number. Here “large” always means “sufficiently large, in a way that can be made to depend only on the fixed parameters A , M , and g .” Note that $\gcd(m_0, Mt^2) = 1$; thus, by the prime number theorem for progressions, we may choose a prime $m \equiv m_0 \pmod{Mt^2}$ with $\frac{1}{2}x < m^g \leq x$. With $X := \sqrt{m^g/2}$, we look for integers $n \in [1, X]$ with $n \equiv 1 \pmod{Mt^2}$, $\gcd(m, n) = 1$ and with d , as defined in (2), squarefree. For any such n ,

$$d = \frac{m^g - n^2}{t^2} \geq \frac{1}{2} \frac{m^g}{t^2} > \frac{1}{4} \frac{x}{t^2},$$

and this certainly exceeds 63 for large x . Also, for large x ,

$$(d+1)^2 > \frac{1}{16} \frac{x^2}{t^4} > x \geq m^g.$$

Thus, Lemma 5 applies, and each such n gives rise to a squarefree $d \equiv A \pmod{M}$ with the class group of $\mathbb{Q}(\sqrt{-d})$ having an element of order g .

The number of n as above is at least $\sum_1 - \sum_2 - \sum_3$, where

$$\sum_1 = \sum_{\substack{n \leq X \\ n \equiv 1 \pmod{Mt^2}}} 1, \quad \sum_2 = \sum_{\substack{n \leq X \\ n \equiv 1 \pmod{Mt^2} \\ m|n}} 1, \quad \sum_3 = \sum_{\substack{n \leq X \\ n \equiv 1 \pmod{Mt^2} \\ \gcd(n, m) = 1 \\ d \text{ not squarefree}}} 1.$$

Clearly, $\sum_1 \geq \frac{X}{Mt^2} - 1 > 0.9 \frac{X}{Mt^2}$, while $\sum_2 \leq \frac{X}{Mmt^2} + 1 < 0.1 \frac{X}{Mt^2}$ (for large x). Now suppose n is counted in \sum_3 , and that the prime p is such that $p^2 \mid d$. Then $n^2 \equiv m^g \pmod{p^2}$. Since $\gcd(m, n) = 1$, we have $p \nmid m$. Thus, the congruence $n^2 \equiv m^g \pmod{p^2}$ puts n in one of two residue classes modulo p^2 . We also know that $p \nmid M$; indeed, $d \equiv A \pmod{M}$ and no integer from the residue class $A \pmod{M}$ is divisible by the square of a prime dividing M . Since $n \equiv 1 \pmod{Mt^2}$ and $\gcd(Mt^2, p^2) = 1$, we see that n is in one of two residue classes modulo $Mt^2 p^2$. So for a given p , the number of corresponding $n \leq X$ is at most $\frac{2X}{Mt^2 p^2} + 1$. Finally,

we bound \sum_3 by summing on possible primes p . Note that p is odd (since M is even) and that $p^2 \leq m^g/t^2 < m^g/2 = X^2$. Thus,

$$\sum_3 \leq \sum_{2 < p \leq X} \left(\frac{2X}{Mt^2 p^2} + 1 \right) < \frac{X}{Mt^2} \sum_{p > 2} \frac{2}{p^2} + \pi(X).$$

Since

$$\sum_{p > 2} \frac{2}{p^2} < \frac{2}{9} + 2 \sum_{j \geq 5} \frac{1}{j^2} < \frac{2}{9} + 2 \sum_{j \geq 5} \int_{j-1}^j \frac{dt}{t^2} < 0.73$$

and $\pi(X) < 0.01 \frac{X}{Mt^2}$ for large x (as the primes have density 0), we have $\sum_3 < \frac{3}{4} \frac{X}{Mt^2}$. Collecting our estimates, we see that the number of suitable n is bounded below by

$$0.05 \frac{X}{Mt^2} > \frac{0.025}{Mt^2} \cdot x^{1/2}.$$

But x can be taken arbitrarily large, and hence Proposition 4 follows. \square

Remark. We have stated Proposition 4 in a qualitative form, but the result actually established is quantitative. Namely, for fixed A , M , and g , the number of $d \leq x$ satisfying the conclusion of Proposition 4 is $\gg x^{1/2}$, for all large x . Here (and in the next paragraph) the notation suppresses the dependence of implied constants on A , M , and g .

Without aiming for the sharpest possible lower bound, we now describe how to do slightly better with little effort. Suppose $g \geq 3$. At the moment where we choose m in the above proof, we can instead consider running the argument for all of the $\asymp x^{1/g}/\log x$ possible choices of m . We find that if x is large, we produce $\gg x^{1/2+1/g}/\log x$ values of $d \leq x$; the only problem is that distinct m may yield the same values of d . By an argument of Murty [7, bottom of p. 235], each pair of distinct m results in an overlap of only $x^{o(1)}$ values of d (as $x \rightarrow \infty$). Hence, the total overlap is accounted for by subtracting a term of size $x^{2/g+o(1)}$. Since $x^{2/g+o(1)}$ is of smaller order than $x^{1/2+1/g}/\log x$, we deduce that there are $\gg x^{1/2+1/g}/\log x$ values of $d \leq x$ satisfying the conclusion of Proposition 4.

3. CONCLUSION

We finish this note by remarking that Proposition 4 yields a short, conceptually simple proof of the following theorem of Yamamoto [12, Theorem 1]:

Theorem 7. *Let g be a positive integer. Let p_1, \dots, p_k be distinct primes, and for each $1 \leq i \leq k$, let $\epsilon_i \in \{-1, 0, 1\}$. There are infinitely many negative fundamental discriminants D with the class group of $\mathbb{Q}(\sqrt{D})$ containing an element of order g and with $\left(\frac{D}{p_i}\right) = \epsilon_i$ for all $1 \leq i \leq k$.*

Proof. It is well-known that there are infinitely many fundamental discriminants D_0 satisfying $\left(\frac{D_0}{p_i}\right) = \epsilon_i$ for all $1 \leq i \leq k$. In fact, a positive proportion of all fundamental discriminants have this property; for rather far-reaching generalizations of these facts, see [11]. Fix any such D_0 . Observe that if D is any fundamental discriminant with $D \equiv D_0 \pmod{4 \prod_{i=1}^k p_i}$, then $\left(\frac{D}{p_i}\right) = \epsilon_i$ for all $1 \leq i \leq k$.

Suppose that 4 divides D_0 . Apply Proposition 4 to the progression $-D_0/4 \pmod{4 \prod_{i=1}^k p_i}$, which contains the squarefree integer $-D_0/4$. If d is as in the conclusion of the Proposition, then $-d \equiv D_0/4 \equiv 2, 3 \pmod{4}$ and so $\mathbb{Q}(\sqrt{-d})$ has discriminant $D := -4d$. Then $D \equiv$

$D_0 \bmod 4 \prod_{i=1}^k p_i$. Moreover, $\mathbb{Q}(\sqrt{D}) = \mathbb{Q}(\sqrt{-d})$, and the class group has an element of order g . This completes the proof of Theorem 7 in the case when $4 \mid D_0$.

When $D_0 \equiv 1 \pmod{4}$, we argue analogously, this time applying Proposition 4 to the progression $-D_0 \bmod 4 \prod_{i=1}^k p_i$. \square

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